## PCT

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: B04B 5/04, A61M 1/36

A3

(11) International Publication Number:

(43) International Publication Date:

WO 96/11747

25 April 1996 (25.04.96)

(21) International Application Number:

PCT/US95/13447

(22) International Filing Date:

10 October 1995 (10.10.95)

(81) Designated States: AU, CA, JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT,

SE).

(30) Priority Data:

08/322,601

13 October 1994 (13.10.94)

US With

With international search report.

(71) Applicants: TRANSFUSION TECHNOLOGIES CORPORATION [US/US]; 9 Erie Drive, Natick, MA 01760 (US). HEADLEY, Thomas, D. [US/US]; 83 Westgate Road, Wellesley, MA 02181 (US). POWERS, Edward, T. [US/US]; 8 Nason Road, Hampton Falls, NH 03844 (US).

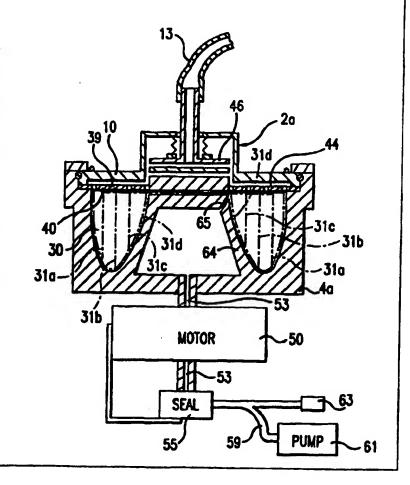
(74) Agents: SUNSTEIN, Bruce, D. et al.; Bromberg & Sunstein, 11th floor, 125 Summer Street, Boston, MA 02110-1618 (US).

(88) Date of publication of the international search report: 11 July 1996 (11.07.96)

(54) Title: BLOOD PROCESSING SYSTEM

#### (57) Abstract

A rotor (2a-2e) for collecting and centrifuging biol gical fluids in a range of volumes. The rotor includes an elastic impermeable diaphragm (31) which defines at least a portion of a variable-volume processing chamber (30), where the fluid is centrifuged. The rotor includes a rigid mounting member (28), to which the diaphragm is mounted and which is held and spun by a chuck. Preferably, this rigid mounting member includes a boundary wall (10) which together with the elastic diaphragm defines the chamber. The boundary wall may be a substantially imperforate circular wall which extends to the periphery of the processing chamber but defining one opening, preferably near the axis of rotation, permitting a conduit (13) or conduits (83, 93, 163, 165, 188) to pass therethrough so as to be in fluid communication with the processing chamber. The rotor may include a separate structure (40, 190, 244) for controlling the flow of liquid out of the chamber into the conduit. In a preferred embodiment, this outlet-control structure is a perforate, substantially rigid wall or plate (40), located within the processing chamber and mounted adjacent the rigid boundary wall. In an alternative embodiment, the outlet-control structure for controlling flow from the processing chamber to the conduit may include at least one tube or preferably a set f tubes (190), wherein each tube provides fluid communication between the chamber and the conduit. In one preferred embodiment, grooves (244) in the boundary wall may be used as the outlet-control structure.



## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

			#1.3 1.9/11	MR	Mauritania
AT	Austria	GB	United Kingdom	MW	Malawi
AU	Australia	GE	Georgia	NE	
BB	Barbados	GN	Guinea		Niger National
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	lialy	PL	Poland
BR	Brazil	JP	Japan	Pī	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgystan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic	SD	Sudan
		<del>-</del>	of Korea	SE	Sweden
CG	Congo	KR	Republic of Korea	<b>S</b> 1	Slovenia
CH	Switzerland	KZ	Kazakhstan	SK	Slovakia
CI	Côte d'Ivoire	ü	Liechsenstein	SN	Senegal
CM	Cameroon	LK	Sri Lanka	TD	Chad
CN	China	LU	Luxembourg	TG	Togo
CS	Czechoslovakia		Levis	TJ	Tajikistan
CZ	Czech Republic	LV		17	Trinidad and Tobego
DE	Germany	MC	Monaco	ŲĄ.	Ukraine
DK	Denmark	MD	Republic of Moldova	US	United States of America
ES	Spain	MG	Madagascar	UZ	Uzbekistan
FI	Finland	ML	Mali	VN	Viet Nam
FR	France	MN	Mongolia	VIN	V EC. 17888
GA	Gabon				

### INTERN IONAL SEARCH REPORT

com. Application No

PCT/US 95/13447 A. CLASSIFICATION OF SUBJECT MATTER IPC 6 B04B5/04 A61M1/ A61M1/36 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) IPC 6 B04B A61M Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Category ' Relevant to claim No. X US,A,3 737 096 (JONES ET AL.) 5 June 1973 1,3, 5-20,30, 38,45-54 see column 3, line 3 - line 25; figures X US,A,5 316 540 (MCMANNIS ET AL.) 31 May 1,3, 5-20,30, 38,45-54 see column 10, line 24 - line 36; figures 3, 5A-5D see figure 3 2 P,X US,A,5 368 542 (MCMANNIS ET AL.) 29 November 1994 see the whole document -/--X Further documents are listed in the continuation of box C. Patent family members are listed in annex. X Special categories of cited documents: T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance MYCDC OD "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) involve an inventive step when the document is taken alone "Y" document of particular relevance; the daimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled to the art. "O" document referring to an oral disclosure, use, exhibition or document published prior to the international filing date but later than the priority date claimed in the art. '&' document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 29 March 1996 17.04.96 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Ripwijk Td. (~31-70) 340-2040, Tx. 31 651 epo nl,

Form PCT/ISA/210 (second sheet) (July 1992)

Fax: (+31-70) 340-3016

Ehrsam, F

INT. NATIONAL SEARCH REPORT In. Jonal Application No PCT/US 95/13447

			PC1/US 95/1344/	
C.{Continu	BOO) DOCUMENTS CONSIDERED TO BE RELEVANT			
Category *	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.	
Υ .	US,A,5 045 048 (KALESKAS ET AL.) 3 September 1991 see column 4, line 34-54; figure 1		2	
A	US,A,4 734 089 (CULLIS) 29 March 1988 see column 4, line 35 - line 41; figure 5		4	
A	US,A,4 530 691 (BROWN) 23 July 1985 see column 3, line 29 - column 4, line 66; figures 1-4		1,3,5-20	
A	US,A,4 940 543 (BROWN ET AL.) 10 July 1990 see column 7, line 13 - line 31; figures 2-9		1	
A	FR,A,2 258 898 (HERAEUS-CHRIST G.M.B.H.) 22 August 1975 see claim 1; figures 1,2		1	
	,			
		. V		
			,	

#### INTERNATIONAL SEARCH REPORT

te onal application No.

PCT/US 95/13447

Box   Observations where certain claims were found unconstable (Continue)	
Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)	
This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:	
1. X Claims Nos.: 33-37,39-44,60-63,72-75,77-81 because they relate to subject matter not required to be searched by this Authority, namely:  Method of treatment of the human body.  Please see Rule 39.1(1v) PCT.	
Claims Nos.:     because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:	
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).	
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)	
This International Searching Authority found multiple inventions in this international application, as follows:	_
PLEASE SEE ANNEX	
1. As all required additional search free were bindly and burst and	
1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.	
2. X As all searchable claims could be searches without effort justifying an additional fee, this Authority did not invite payment of any additional fee.	
As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:	
No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:	
The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.	

## FURTHER INFORMATION CONTINUED FR M PCT/ISA/210

- A. Claims 1,3-20 : A rotor for use in a centrifuge system.
  - Claims 30-32,38,45,46-53,54:
    A system for separating fluid comprising a rotor according claims 1-20.
  - Claims 55-59,64-69,70-71,76,82:
    Method of processing biological fluids of different densities.
- B. Claims 2,21-29,83-85,86 :
  A rotary seal for a rotor of claim 1.

INTERN IONAL SEARCH REPORT

Information on patent family members

In. to Application No PCT/US 95/13447

		PC1/U.	3 93/1344/
Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A-3737096	05-06-73	CA-A- 990255	01-06-76
		DE-A- 2254403	12-07-73
		FR-A,B 2164621	03-08-73
		GB-A- 1371678	23-10-74
		JP-C- 1100988	18-06-82
		JP-A- 48071093	26-09-73
<b></b>	_	JP-B- 56036943	27-08-81
US-A-5316540	31-05-94	US-A- 5368542	29-11-94
US-A-5368542	29-11-94	US-A- 5316540	31-05-94
US-A-5045048	03-09-91	NONE	
US-A-4734089	29-03-88	CA-A- 1057254	26-06-79
		CA-A- 1068657	24-12-79
		CA-A- 1068658	24-12-79
		CH-A- 624023	15-07-81
		DE-A- 2717344	24-11-77
		FR-A,B 2350885	09-12-77
		JP-C- 1323212	27-06-86
		JP-A- 52138761	19-11-77
		JP-B- 60050497	08-11-85
		NL-A- 7705334	16-11-77
		SE-B- 427138	07-03-83
		SE-A- 7704127	15-11-77
		SU-A- 1072794	07-02-84
		US-A- 4636193	13-01-87
JS-A-4530691	23-07-85	EP-A- 0165290	27-12-85
		JP-T- 61500653	10-04-86
		WO-A- 8502560	20-06-85
JS-A-4940543	10-07-90	US-A- 4806252	21-02-89
		CA-A- 1306727	25-08-92
		DE-A- 3876886	04-02-93
		EP-A,B 0301077	01-02-89
		JP-T- 1502488	31-08-89

#### INT. IATIONAL SEARCH REPORT

Information on patent family members

In Jonal Application No
PCT/US 95/13447

<del></del>	
	31-07-75 04-09-75
JP-A- 50107565	18-03-76 25-08-75 26-10-76
	DE-A- 2408206 DE-A- 2441824